

Governance, Risk and Compliance

General Objectives:

This course aims to introduce participants to the main challenges in achieving effective integration between corporate governance, risk management and compliance activity.

Specific Objectives:

At the end of the course trainees will be able to:

- Define Governance, Risk and Compliance;
- Analyze the model and functions of the Governance, Risk and Compliance process;
- Define Performance Management and KRIs;
- Assess the business environment as well as the market;
- Analyze sustainable Compliance Systems;
- Implement a Governance, Risk and Compliance model.

Recipients:

To all governance, audit, risk management, internal control and compliance professionals. It is also intended for managers of business and support areas, as it promotes understanding of the governance, risk, control and compliance environment, strengthening the performance of these professionals in the organization.

Duration:

24 Hours

Content Program:

Module I - Corporate Governance

- Concept and principles of governance;
- Corporate governance in Portugal.

Module II - Risks and Controls

- Control environment. Dedicated areas. Lines of defense and segregation of duties;
- Process and risk mapping. ISO 31010. Risk appetite. KRIs;
- Key risks;
- Risk treatment policies and procedures;
- Follow-up. Review and treatment of deficiencies;
- Reporting - Monitoring and continuous improvement;
- Models: COSO. ISO 31000;
- Information Technology. COBIT. Role of systems in understanding risks;
- Risk management and capital management.

Module III - Compliance

- Role of Compliance;
- Compliance Program;
- Senior Management and Compliance culture;
- Code of Ethics and Whistleblowing Channel;
- Dedicated area and resources;
- Compliance risks;
- Compliance Policies;
- Controls - Testing - Due diligence;
- Accountability - Disciplinary measures - Internal Audit;
- Indicators - Reporting - Continuous improvement;
- Anti-corruption measures;
- Prevention of Money Laundering;
- Relationship with Authorities.

Module IV - Governance - Risk - Compliance integration

- The contribution resulting from the Governance - Risk - Compliance integration