

Audit and Internal Control and Business Risk Analysis

General Objectives:

This course aims to provide participants with the principles of internal control and its implementation process and to know and use the methodology and basic techniques of auditing.

It will also be addressed in this course risk analysis theme where the trainee is prepared to identify, assess, control and communicate risks. This course empowers professionals with a broad view of non-financial business risks. With techniques applicable to various sectors, the course promotes the practical and multidisciplinary training of professionals, enabling them to support the top management of organizations in the management of risks and crises, in order to ensure the continuity of business and operations.

Specific Objectives:

At the end of this Course participants will be able to:

- Understand the role of auditors and their working methods;
- Position and optimize the Internal Audit function within Entities;
- Analyze Business Risk;
- Identify Information Risks at the financial level;
- Build the Business Continuity Plan;
- Analyze Crisis and Emergency Management;
- Analyze Operational Risk Management.

Recipients

This course is intended for managers and professionals of companies, as well as public managers, who have, among their activities, the objectives of managing risks.

Duration:

60 Hours

Content Program:

Module A - Business Risk Analysis - Financial and Institutional Image

Module I - Legislation and Regulation

Module II - Qualitative Risk Analysis Techniques;

Module III - Asset Risks;

Module IV - Consumer Risks;

Module V - Transportation risk;

Module VI - Environmental risks;

Module VII - Occupational risks;

Module VIII - Image risk;

Module IX - Basics of information risks;

Module X - Basics of Legal risk;

Module XI - Basics of financial risks;

Module XII - Introduction to Reliability Engineering;

Module XIII - Risk Transfer;

Module XIV - Business continuity plan;

Module XV - Crisis and Emergency Management;

Module XVI - Operational Risk Management.

Module B - Audit and Internal Control

Module I - Knowing the functions of audit and internal control

- The notion of Audit and Internal Control:
 - The historical evolution of internal control;
 - Professional standards and norms;
 - Survey on the importance of internal control;
 - The mission and objectives of internal audit;
 - The types of audit;
 - The content of internal audit;
 - Position in the organizational structure;
 - The limits;
 - The parties involved.

Module II - Knowing and using audit methodology and techniques

- The general methodology;
- Notion of risk;
- Working papers and reports;
- The methods of assessing internal control:
 - Organization charts;
 - Flow charts;
 - Separation of duties grids;
 - Questionnaires.
- Financial audit techniques applicable to the assessment of internal control:
 - Methodology;
 - Risk approach;
 - Degree of materiality;
 - Audit procedures.

Module III - Understanding the organization and management of an internal audit unit

- The recruitment and management of teams;
- The planning and control of the audit activity.

Module IV - Auditors and auditees: identifying and meeting the conditions for successful collaboration

- The clear and realistic definition of the company's mission;
- The definition of specific objectives;
- The implementation of corrective measures;
- Relations with auditors;
- Respecting and enforcing a "code of good conduct".